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on November 11, 1998
By Linda M. Fall

PATENT

Attorney Docket No. 2307E-082600
UC Case No. 98-013-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James D. Marks *et al.*

Serial No.: 09/144,886

Filed: August 31, 1998

For: Therapeutic Monoclonal Antibodies
that Neutralize Botulinum Neurotoxins

**POWER OF ATTORNEY BY ASSIGNEE
AND EXCLUSION OF INVENTOR(S) UNDER 37 CFR § 3.71**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints Kevin L. Bastian, Reg. No. 34,774; Gregory P. Einhorn, Reg. No. 38,440; Susan K. Faris, Reg. No. P-41,739; M. Henry Heines, Reg. No. 28,219; Tom Hunter, Reg. No. 38,498; Laurence J. Hyman, Reg. No. 35,551; Jeffry S. Mann, Reg. No. P-42,837; Annette S. Parent, Reg. No. P-42,058; Timothy L. Smith, Reg. No. 35,367; William M. Smith, Reg. No. 30,223; Joseph R. Snyder, Reg. No. 39,381; Eugenia Garrett-Wackowski, Reg. No. 37,330; P. Ben Wang, Reg. No. 41,420; Ellen L. Weber, Reg. No. 32,762; Kenneth A. Weber, Reg. No. 31,677; all of the firm of Townsend and Townsend and Crew LLP, as its attorneys to

prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with 37 CFR §3.71.

An assignment of the entire interest in the above-identified subject application:

☒ was recorded on _____ at reel/frame
_____/_____, or for which a copy is attached.

☐ is submitted separately for recording, copy attached.

Please direct all telephone calls to Tom Hunter and all correspondence relative to said application to the following address:

Townsend and Townsend and Crew LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834

ASSIGNEE: THE REGENTS OF THE
UNIVERSITY OF CALIFORNIA

Signature:

Linda S. Stevenson

Typed Name:

Linda S. Stevenson

Title:

Principal Prosecution Analyst

Office of Technology Transfer
1111 Franklin Street, 12th Floor
Oakland, CA 94607-5200

Dated: October 30, 1998

SF 166893 v1



CERTIFICATE UNDER 37 C.F.R. §3.73(b)

Applicant: The Regents of the University of California

Application No.: 09/144,886

Filed: August 31, 1998

For: Therapeutic Monoclonal Antibodies that Neutralize Botulinum Neurotoxins

The Regents of the University of California

, a university

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is assignee of the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s) of the patent application identified above to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date : October 30, 1998

Name : Linda S. Stevenson

Title : Principal Prosecution Analyst

Signature: Linda S. Stevenson